

# New moduli components of rank 2 bundles on projective space

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Maruyama, 1977: moduli rank  $r$  stable vector bundles on a projective scheme  $X$  with fixed Chern classes  $c_1, \dots, c_r$  can be parametrized by an algebraic quasi-projective scheme, denoted by  $\mathcal{B}_X(r, c_1, \dots, c_r)$ . Although this result has been known for almost 40 years, there are just a few concrete examples and established facts about such schemes, even for cases like  $X = \mathbb{P}^3$  and  $r = 2$ . For instance,

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This probably happened due to the fact that the questions of irreducibility (solved by [T] in 2012-13), and smoothness (answered by Jardim and Verbitsky in 2014) of the so-called **instanton component** of the moduli space  $\mathcal{B}_{\mathbb{P}^3}(2, 0, c_2)$  for all  $c_2 \in \mathbb{Z}_+$  remained open until 2014.

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In this talk, I'll present my joint paper with Ch. Almeida (Belo Horizonte), M. Jardim (Campinas), and Sergey Tikhomirov (Yaroslavl) [*New moduli components of rank 2 bundles on projective space*. *Sbornik Mathematics*, 212:11 (**2021**), 1503-1552.]

In this paper, we continue the study of the moduli space  $\mathcal{B}_{\mathbb{P}^3}(2, 0, n)$ , which we will simply denote by  $\mathcal{B}(n)$  from now on, with the goal of providing new examples of families of vector bundles, and understanding their geometry. It is more or less clear from the table in [Hartshorne-Rao, 1991, Section 5.3] that  $\mathcal{B}(1)$  and  $\mathcal{B}(2)$  should be irreducible, while  $\mathcal{B}(3)$  and  $\mathcal{B}(4)$  should have exactly two irreducible components; see [Ellingsrud-Strømme, 1981] and [Chang, 1983], respectively, for the proof of the statements about  $\mathcal{B}(3)$  and  $\mathcal{B}(4)$ .

As for  $\mathcal{B}(5)$ , a description of all its irreducible components had been a challenge since 1980ies. In the paper, we give a complete answer to this problem (Main Theorem 2 below).

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For  $n \geq 5$ , two families of irreducible components have been studied, namely the **instanton components**,

and the **Ein components**, whose general point corresponds to a bundle given as cohomology of a monad of the form

$$0 \rightarrow \mathcal{O}_{\mathbb{P}^3}(-c) \rightarrow \mathcal{O}_{\mathbb{P}^3}(-b) \oplus \mathcal{O}_{\mathbb{P}^3}(-a) \oplus \mathcal{O}_{\mathbb{P}^3}(a) \oplus \mathcal{O}_{\mathbb{P}^3}(b) \rightarrow \mathcal{O}_{\mathbb{P}^3}(c) \rightarrow 0,$$
$$b \geq a \geq 0, c > a + b.$$

In 2019 A. Kytmanov, T. & S. Tikhomirov proved that the Ein components are **rational** varieties.

All of the components of  $\mathcal{B}(n)$  for  $n \leq 4$  are of either of these types; here we focus on a new family of bundles that appear as soon as  $n \geq 5$ .

More precisely, we study the set of vector bundles in  $\mathcal{B}(a^2 + k)$  for each  $a \geq 2$  and  $k \geq 1$  which arise as cohomologies of monads of the form:

$$0 \rightarrow \mathcal{O}_{\mathbb{P}^3}(-a) \oplus \mathcal{O}_{\mathbb{P}^3}(-1)^{\oplus k} \rightarrow \mathcal{O}_{\mathbb{P}^3}^{\oplus 2k+4} \rightarrow \mathcal{O}_{\mathbb{P}^3}(1)^{\oplus k} \oplus \mathcal{O}_{\mathbb{P}^3}(a) \rightarrow 0,$$

which will be denoted by  $\mathcal{G}(a, k)$ . We provide a bijection between such monads and monads of the form:

$$0 \rightarrow \mathcal{O}_{\mathbb{P}^3}(-a) \rightarrow E \rightarrow \mathcal{O}_{\mathbb{P}^3}(a) \rightarrow 0,$$

where  $E$  is a symplectic rank 4 instanton bundle of charge  $k$ .

When  $k = 1$ , these facts are used to prove our first main result. (See Theorem 5.2 below.)

### Main Theorem 1

*For each  $a \geq 2$  not equal to 3,  $\mathcal{G}(a, 1)$  is a nonsingular dense subset of a rational irreducible component of  $\mathcal{B}(a^2 + 1)$  of dimension  $4\binom{a+3}{3} - a - 1$ .*

Our second main result provides a complete description of all the irreducible components of  $\mathcal{B}(5)$ .

## Main Theorem 2

*The moduli space  $\mathcal{B}(5)$  has exactly 3 rational irreducible components:*

(i) *the instanton component, of dimension 37, which is nonsingular and consists of those bundles given as cohomology of monads of the form*

$$0 \rightarrow \mathcal{O}_{\mathbb{P}^3}(-1)^{\oplus 5} \rightarrow \mathcal{O}_{\mathbb{P}^3}^{\oplus 12} \rightarrow \mathcal{O}_{\mathbb{P}^3}(1)^{\oplus 5} \rightarrow 0, \quad (1)$$

*or of the form*

$$0 \rightarrow \mathcal{O}_{\mathbb{P}^3}(-2)^{\oplus 2} \rightarrow \mathcal{O}_{\mathbb{P}^3}(-1)^{\oplus 3} \oplus \mathcal{O}_{\mathbb{P}^3}(1)^{\oplus 3} \rightarrow \mathcal{O}_{\mathbb{P}^3}(2)^{\oplus 2} \rightarrow 0; \quad (2)$$

(ii) *the Ein component, nonsingular of dimension 40, which consists of those bundles given as cohomology of monads of the form*

$$0 \rightarrow \mathcal{O}_{\mathbb{P}^3}(-3) \rightarrow \mathcal{O}_{\mathbb{P}^3}(-2) \oplus \mathcal{O}_{\mathbb{P}^3}^{\oplus 2} \oplus \mathcal{O}_{\mathbb{P}^3}(2) \rightarrow \mathcal{O}_{\mathbb{P}^3}(3) \rightarrow 0; \quad (3)$$

(iii) *the closure of the set  $\mathcal{G}(2, 1)$ , of dimension 37 consisting of the so-called modified instantons given as cohomology of monads of the form*

$$0 \rightarrow \mathcal{O}_{\mathbb{P}^3}(-2) \oplus \mathcal{O}_{\mathbb{P}^3}(-1) \rightarrow \mathcal{O}_{\mathbb{P}^3}^{\oplus 6} \rightarrow \mathcal{O}_{\mathbb{P}^3}(1) \oplus \mathcal{O}_{\mathbb{P}^3}(2) \rightarrow 0 \quad (4)$$

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Irreducible components of  $\mathcal{B}(5)$ 

Component	Dimension	Monads	Spectra	$\alpha$ -invariant
<b>Instanton</b>	37	(1)	(0,0,0,0,0)	0
		(2)	(-1,-1,0,1,1)	
<b>Ein</b>	40	(3)	(-2,-1,0,1,2)	1
<b>Modified Instanton</b>	37	(4)	(-1,0,0,0,1)	1
		(5)		

Here  $\alpha$ -invariant of a vector bundle  $E$  is  $\alpha(E) := h^1(E(-2)) \bmod 2$ .



## Proof of Theorem 1

A vector bundle  $E$  is called **instanton bundle** if  $h^i(E(-i-1)) = 0$ ,  $i = 0, 1, 2, 3$ . Here is a list of some properties of instanton bundles.

- (i) Every rank 4 instanton bundle  $E$  of charge 1 satisfies an exact triple  $0 \rightarrow \mathcal{O}_{\mathbb{P}^3}^{\oplus 2} \rightarrow E \rightarrow N \rightarrow 0$ , where  $N$  is a null-correlation bundle.
- (ii) The cohomology bundle  $E = \mathcal{H}^0(M^\bullet)$  of the monad  $M^\bullet$  of the form:
- $$M^\bullet : \quad 0 \rightarrow \mathcal{O}_{\mathbb{P}^3}(-1) \rightarrow \mathcal{O}_{\mathbb{P}^3}^{\oplus 6} \rightarrow \mathcal{O}_{\mathbb{P}^3}(1) \rightarrow 0, \quad (6)$$
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- (iii) Any rank 2 bundle  $[\mathcal{E}] \in \mathcal{G}(a, k)$  is the cohomology of a monad
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We construct three families of symplectic monads of the form (6). The first one is the universal family, with the base scheme  $S$ , of monads with  $E$  splitting as

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The second is a family, with the base scheme  $\tilde{S}$  containing  $S$  as a dense open subset, of monads with  $E$  a general *symplectic* rank 4 instanton of charge 1.

The third is a family of monads with  $E$  splitting as in the first one, but with a new base  $Y$ . All the three families inherit universal cohomology sheaves, and it is shown that the images of their corresponding modular morphisms to  $\mathcal{B}(a^2 + 1)$  have the same closure  $\overline{\mathcal{G}(a, 1)}$ .

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Comments to the construction of  $S$ :

$\mathcal{G}(a, 1) = \{[\mathcal{E}] \in \mathcal{B}(a^2 + 1) \mid \mathcal{E} = \mathcal{H}^0(A_S^\bullet)\}$ , where  $A_S^\bullet$  is a monad:

$$A_S^\bullet : 0 \rightarrow \mathcal{O}_{\mathbb{P}^3}(-a) \oplus \mathcal{O}_{\mathbb{P}^3}(-1) \rightarrow \mathcal{O}_{\mathbb{P}^3}^{\oplus 6} \rightarrow \mathcal{O}_{\mathbb{P}^3}(1) \oplus \mathcal{O}_{\mathbb{P}^3}(a) \rightarrow 0,$$

$$\begin{array}{ccccc}
 & \mathcal{O}_{\mathbb{P}^3}(-1) & & & \mathcal{O}_{\mathbb{P}^3}(a) \\
 & \downarrow & \searrow \alpha_0 & & \downarrow \\
 \mathcal{O}_{\mathbb{P}^3}(-a) \oplus \mathcal{O}_{\mathbb{P}^3}(-1) & \xrightarrow{\alpha} & \mathcal{O}_{\mathbb{P}^3}^{\oplus 6} & \xrightarrow{\beta} & \mathcal{O}_{\mathbb{P}^3}(1) \oplus \mathcal{O}_{\mathbb{P}^3}(a) \\
 \downarrow & & \searrow \beta_0 & & \downarrow \\
 \mathcal{O}_{\mathbb{P}^3}(-a) & & & & \mathcal{O}_{\mathbb{P}^3}(1).
 \end{array}$$

$$E = \frac{\ker \beta_0}{\operatorname{im} \alpha_0}:$$

$$0 \rightarrow \mathcal{O}_{\mathbb{P}^3}(-a) \rightarrow E \rightarrow \mathcal{O}_{\mathbb{P}^3}(a) \rightarrow 0 \quad (7)$$

$$\mathcal{E} = \mathcal{H}^0(\text{monad (7)})$$

## Theorem

- (i)  $\Phi_{\tilde{S}}(\tilde{S}) = \mathcal{G}(a, 1)$ .
- (ii)  $\mathcal{G}(a, 1)_0 := \Phi_Y(Y) = \Phi_S(S)$  is a dense subset of  $\overline{\mathcal{G}(a, 1)}$ .
- (iii) The modular morphism  $\Phi_Y$  factors as

$$\Phi_Y : Y \xrightarrow{\pi} \mathcal{P} \hookrightarrow \mathcal{B}(a^2 + 1),$$

where  $\mathcal{P}$  is a rational variety and  $\pi : Y \rightarrow \mathcal{P}$  is a principal  $G$ -bundle, where  $G \simeq GL(2, k) \times k^\times$ . Hence,  $\mathcal{P} = \mathcal{G}(a, 1)_0$ .

- (iv)  $\dim \mathcal{P} = 4 \binom{a+3}{3} - a - 1 = h^1(\mathcal{E}nd(E_y))$  for  $y \in Y$ . Hence,  $\overline{\mathcal{G}(a, 1)}$  is an irreducible component of  $\mathcal{B}(a^2 + 1)$ .

The proof of this theorem is an explicit calculation, though quite involved, especially of statement (iii). Main Theorem 1 is a direct corollary of this theorem.



## Proof of Theorem 2

Consider the set

$$\mathcal{H} = \{[\mathcal{E}] \in \mathcal{B}(5) \mid \mathcal{E} = \mathcal{H}(M^\bullet), \text{ where } M^\bullet \text{ is a monad of type (5)}\},$$

$$M^\bullet : 0 \rightarrow M^{-1} \xrightarrow{\alpha} M^0 \xrightarrow{\beta} M^1 \rightarrow 0, \quad M^1 = \mathcal{O}_{\mathbb{P}^3}(2) \oplus \mathcal{O}_{\mathbb{P}^3}(1)^{\oplus 2},$$

$$M^0 = \mathcal{O}_{\mathbb{P}^3}(-1) \oplus V_6 \otimes \mathcal{O}_{\mathbb{P}^3}^{\oplus 6} \oplus \mathcal{O}_{\mathbb{P}^3}(1), \quad M^{-1} = (M^1)^\vee.$$

It is known [Hartshorne-Rao, 1991, Table 5.3] that  $\mathcal{H} \neq \emptyset$ . Note that  $\mathcal{H}$  is a constructible subset of  $\mathcal{B}(5)$ , as well as  $\mathcal{G}(2, 1)$ . We prove

**Theorem**

$$\dim(\mathcal{H} \setminus (\mathcal{G}(2, 1) \cap \mathcal{H})) \leq 36.$$

*Hence the closure of  $\mathcal{H}$  in  $\mathcal{B}(5)$  does not constitute a component of  $\mathcal{B}(5)$ .*

The idea is to relate the vector bundle  $[\mathcal{E}] \in \mathcal{H} \setminus (\mathcal{G}(2, 1) \cap \mathcal{H})$  to a certain rank 2 reflexive sheaf

$$\mathcal{F} = \mathcal{F}(M^\bullet)$$

with Chern classes  $c_1(\mathcal{F}) = 0$ ,  $c_2(\mathcal{F}) = 2$  and  $c_3(\mathcal{F}) = 2k$ ,  $0 \leq k \leq 6$ .

Namely,  $M^\bullet$  yields a display diagram in which  $\alpha_0$  and  $\beta_0$  are the induced morphisms:

$$\begin{array}{ccccc}
 \mathcal{O}_{\mathbb{P}^3}(-1)^{\oplus 2} & & & & \mathcal{O}_{\mathbb{P}^3}(2) \\
 \downarrow & \searrow \alpha_0 & & & \downarrow \\
 M^{-1} & \xrightarrow{\alpha} & M^0 & \xrightarrow{\beta} & M^1 \\
 \downarrow & & \searrow \beta_0 & & \downarrow \\
 \mathcal{O}_{\mathbb{P}^3}(-2) & & & & \mathcal{O}_{\mathbb{P}^3}(1)^{\oplus 2}.
 \end{array} \tag{1}$$

Since there is a unique (up to a scalar multiple) quotient morphism  $M^0 \twoheadrightarrow \mathcal{O}_{\mathbb{P}^3}(-1)$ , we have well-defined morphisms

$$\tilde{\alpha} : \mathcal{O}_{\mathbb{P}^3}(-1)^{\oplus 2} \xrightarrow{\alpha_0} M^0 \twoheadrightarrow \mathcal{O}_{\mathbb{P}^3}(-1)$$

$$\tilde{\beta} : \mathcal{O}_{\mathbb{P}^3}(1) \hookrightarrow M^0 \twoheadrightarrow \mathcal{O}_{\mathbb{P}^3}(1)^{\oplus 2}.$$

The sheaf  $\mathcal{F}(M^\bullet)$  is constructed in the following way: It occurs that the only possible case for  $\tilde{\alpha}$  and  $\tilde{\beta}$  is

$$\tilde{\alpha} = \tilde{\beta} = 0.$$

This condition and some standard diagram chasing with the above display imply that there exist a uniquely defined monomorphism  $j : \mathcal{O}_{\mathbb{P}^3}(1) \hookrightarrow E := \frac{\ker \beta_0}{\operatorname{im} \alpha_0}$  and, respectively, a uniquely defined epimorphism  $\varepsilon : \operatorname{coker}(j) \rightarrow \mathcal{O}_{\mathbb{P}^3}(-1)$ . Then  $\mathcal{F}(M^\bullet)$  is defined as

$$\mathcal{F}(M^\bullet) := \ker(\varepsilon).$$

Again, a diagram chasing with the above display induces a monad:

$$0 \rightarrow \mathcal{O}_{\mathbb{P}^3}(-2) \xrightarrow{\sigma} E \rightarrow \mathcal{O}_{\mathbb{P}^3}(2) \rightarrow 0, \quad \text{with} \quad \mathcal{E} = \mathcal{H}^0(E),$$

and uniquely defined monomorphisms  $j' : \mathcal{O}_{\mathbb{P}^3}(1) \hookrightarrow \operatorname{coker}(\sigma)$  and  $j'' : \mathcal{O}_{\mathbb{P}^3}(-1) \hookrightarrow \mathcal{O}_{\mathbb{P}^3}$ , and we set

$$\mathcal{L} = \mathcal{L}(M^\bullet) := \text{coker}(j'), \quad \mathbb{P}^2 = \mathbb{P}^2(M^\bullet) := \text{Supp}(\text{coker}(j'')).$$

**Claim:**

(i) The sheaf  $\mathcal{L} = \mathcal{L}(M^\bullet)$  is a stable reflexive rank 2 sheaf on  $\mathbb{P}^3$ ,  $[\mathcal{L}] \in \mathcal{R}(1, 4, 6)$ .

(ii) The sheaf  $\mathcal{F} = \mathcal{F}(M^\bullet)$  is a reflexive rank 2 sheaf on  $\mathbb{P}^3$ , fitting in an exact triple

$$0 \rightarrow \mathcal{F} \rightarrow \mathcal{L} \rightarrow \mathcal{I}_{W, \mathbb{P}^2}(-1) \rightarrow 0,$$

and in its dual

$$0 \rightarrow \mathcal{L}(-1) \rightarrow \mathcal{F} \rightarrow \mathcal{I}_{Z, \mathbb{P}^2}(2) \rightarrow 0,$$

where  $\mathbb{P}^2 = \mathbb{P}^2(M^\bullet)$ ,  $Z$  and  $W$  are subschemes of  $\mathbb{P}^2$ ,  $\dim Z \leq 0$ ,  $\dim W \leq 0$ , and

$$\ell(Z) + \ell(W) = 6.$$

Chern classes of  $\mathcal{F}$  are  $c_1(\mathcal{F}) = 0$ ,  $c_2(\mathcal{F}) = 2$ ,  $0 \leq c_3(\mathcal{F}) = 2\ell(W) \leq 12$ ,

i.e.,

$$[\mathcal{F}] \in \bigsqcup_{0 \leq k \leq 6} \mathcal{R}_k, \quad \mathcal{R}_k := \mathcal{R}(0, 2, 2k).$$

The relation between the sheaf  $\mathcal{E} = \mathcal{H}^0(M^\bullet)$  and the reflexive sheaf  $\mathcal{F}$  constructed above is given by the following

### Proposition

*There is an inclusion*

$$\mathcal{H} \setminus (\mathcal{H} \cap \mathcal{G}(2, 1)) \subset \bigsqcup_{0 \leq k \leq 6} \mathcal{H}_k, \quad \text{where}$$

$\mathcal{H}_k = \{[\mathcal{E}] \in \mathcal{B}(5) \mid \mathcal{E} \text{ is obtained from } \mathcal{F}, \text{ where } [\mathcal{F}] \in \mathcal{R}_k, \text{ by the two subsequent elementary transformations (1) below}\},$

$$0 \rightarrow \mathcal{L}(-1) \rightarrow \mathcal{F} \rightarrow \mathcal{I}_{Z, \mathbb{P}^2}(2) \rightarrow 0, \quad (\text{step 1})$$

$$0 \rightarrow \mathcal{E} \rightarrow \mathcal{L} \rightarrow \mathcal{O}_{\mathbb{P}^2}(2) \rightarrow 0, \quad (\text{step 2})$$

where  $\mathbb{P}^2$  is some plane in  $\mathbb{P}^3$ ,  $Z \subset \mathbb{P}^2$ ,  $\dim Z \leq 0$ ,  $\ell(Z) = 6 - k$ , and  $\mathcal{L}$  is a stable reflexive sheaf from  $\mathcal{R}(1, 4, 6)$ .

Properties of the reflexive sheaf  $\mathcal{F}$  are reflected in the following statements. (Here we denote by  $\mathcal{R}_k^s$  and  $\mathcal{R}_k^u$  the moduli spaces of stable and unstable reflexive sheaves from  $\mathcal{R}_k$ , respectively.)

Claim:

(i)  $\mathcal{R}_k^u \neq \emptyset$  only for  $0 \leq k \leq 3$ , and any sheaf  $\mathcal{F}$  from  $\mathcal{R}_k^u$  fits in an exact triple

$$0 \rightarrow \mathcal{O}_{\mathbb{P}^3} \xrightarrow{s} \mathcal{F} \xrightarrow{u} \mathcal{I}_{C, \mathbb{P}^3} \rightarrow 0,$$

where  $C = \text{Sing}(\mathcal{F}/\mathcal{O}_{\mathbb{P}^3})$  is a l.c.i. curve of degree 2 in  $\mathbb{P}^3$ ,  
 $\chi(\mathcal{O}_C) = 4 - \frac{1}{2}c_3(\mathcal{F}) = 4 - k$ .

(ii) If  $C$  is reduced, then either  $c_3(\mathcal{F}) = 4$  and  $C$  is a disjoint union  $l_1 \sqcup l_2$  of two projective lines in  $\mathbb{P}^3$ , or  $c_3(\mathcal{F}) = 6$ , then  $C$  is a plane conic in  $\mathbb{P}^3$ .

(iii) If  $C$  is nonreduced then  $C$  is the scheme structure of multiplicity two on a projective line  $l$  in  $\mathbb{P}^3$  defined by an exact sequence

$$0 \rightarrow \mathcal{I}_{C, \mathbb{P}^3} \rightarrow \mathcal{I}_{l, \mathbb{P}^3} \rightarrow \mathcal{O}_l(m) \rightarrow 0, \quad -1 \leq m = 2 - k \leq 2.$$

(iv) The moduli spaces  $\mathcal{R}_k^u$  are varieties of dimensions  $\dim \mathcal{R}_0^u = \dim \mathcal{R}_3^u = 14$ ,  $\dim \mathcal{R}_1^u = \dim \mathcal{R}_2^u = 13$ , and they are fine.

Claim:

*Suppose that  $[\mathcal{F}] \in \mathcal{R}_k^s$ . Then the following statements hold.*

*(i)  $\mathcal{R}_k^s \neq \emptyset$  only for  $0 \leq k \leq 2$ .*

*(ii)  $\dim \mathcal{R}_k^s = 13$ ,  $k = 0, 1, 2$ .*

*(iii) For  $0 \leq k \leq 2$  and any  $[\mathcal{F}] \in \mathcal{R}_k^s$ ,  
 $\dim \text{Ext}^1(\mathcal{F}, \mathcal{F}) = 13$ ,  $\text{Ext}^2(\mathcal{F}, \mathcal{F}) = 0$ .*

*(iv) For any  $\mathbb{P}^2 \subset \mathbb{P}^3$ ,  $h^0(\mathcal{F}_{\mathbb{P}^2}(2)) = 10$ ,  $h^1(\mathcal{F}_{\mathbb{P}^2}(2)) = 0$ .*

Using these two claims, together with the above Proposition on a pair of elementary transformations from  $\mathcal{F}$  to  $\mathcal{E}$ , we eventually obtain the desired result that  $\dim(\mathcal{H} \setminus (\mathcal{G}(2, 1) \cap \mathcal{H})) \leq 36$ .

To finish the proof of Theorem 2, we make the following remarks.

The first ingredient is the result of [Hartshorne-Rao, 1991, Table 5.3, case 5.(1)-(4)] saying that every bundle in  $\mathcal{B}(5)$  is cohomology of one of the monads (1)-(5).

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It is known that the Atiyah-Rees  $\alpha$ -invariant of  $E$  is invariant on the connected components of the moduli space of stable vector bundles on  $\mathbb{P}^3$ . One can easily check that the cohomologies of monads of the form (1) and (2) have  $\alpha$ -invariant equal to 0, while the cohomologies of the monads (3), (4) and (5) have  $\alpha$ -invariant equal to 1.

Rao, 1987: the family of cohomology bundles of monads of the form (2) is irreducible, of dimension 36, and it lies in a *unique* component of  $\mathcal{B}(5)$ . Since instanton bundles of charge 5, i. e. the cohomologies of monads (1), yield an irreducible family of dimension 37, it follows that the set

$$\mathcal{I} := \{[E] \in \mathcal{B}(5) \mid \alpha(E) = 0\} \quad (*)$$

forms a single irreducible component of  $\mathcal{B}(5)$ , of dimension 37, whose generic point corresponds to an instanton bundle. In addition, every  $[E] \in \mathcal{I}$  satisfies  $h^1(\mathcal{E}nd(E)) = 37$ ; this was originally proved by Katsylo and Ottaviani in 2004 for instanton bundles, and by Rao in 1987 for the cohomologies of monads (2). Therefore,  $\mathcal{I}$  is nonsingular. This completes the proof of the first statement (i) of the Main Theorem 1.

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Our next step is to analyse bundles with  $\alpha$ -invariant equal to 1. Hartshorne, 1980: the family  $\mathcal{K}$  of stable rank 2 bundles  $E$  with  $c_1(E) = 0$  and  $c_2(E) = 5$  with spectrum  $(-2, -1, 0, 1, 2)$  is an irreducible, nonsingular family of dimension 40, and from the definition of spectrum one has

$$h^1(\mathcal{E}(-2)) = 3, \quad [\mathcal{E}] \in \mathcal{K}. \quad (**)$$

[Hartshorne-Rao, 1991, Table 5.3, case 5.(4)]: bundles from  $\mathcal{K}$  are precisely those given as cohomologies of monads (3). This is a particular case of a class of monads studied by Ein in 1988. Ein shows that the closure  $\overline{\mathcal{K}}$  of  $\mathcal{K}$  in  $\mathcal{B}(5)$  is an *irreducible component* of  $\mathcal{B}(5)$  of dimension 40.

Main Theorem 1, case  $a = 2$ : bundles arising as cohomology of monads (4) (*modified instantons*) form a dense subset  $\mathcal{G}(2, 1)$  of a *rational irreducible component* of dimension 37. Consider the above studied set  $\mathcal{H}$  of cohomology bundles of monads (5). Since the bundles from  $\mathcal{G}(2, 1) \cup \mathcal{H}$  have the spectrum  $(-1, 0, 0, 0, 1)$  by [Hartshorne-Rao, 1991, Table 5.3, case 5.(2)], we have

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so that  $\alpha(\mathcal{E}) = 1$ , and therefore, in view of (\*),  $\mathcal{H} \cap \mathcal{I} = \emptyset$ . As we have seen in Theorem on the dimension of  $\mathcal{H}$ ,  $\mathcal{H}$  does not constitute a component in  $\mathcal{B}(5)$ , it then follows from the above that

$$\mathcal{H} \subset \overline{\mathcal{G}(2,1)} \cup \overline{\mathcal{K}}.$$

### Proposition

$\mathcal{H} \subset \overline{\mathcal{G}(2,1)}$  and  $\overline{\mathcal{K}} = \mathcal{K}$ .

**Proof.** We only have to show that  $(\mathcal{G}(2,1) \cup \mathcal{H}) \cap \overline{\mathcal{K}} = \emptyset$ . Suppose by contradiction that there exists a vector bundle  $[\mathcal{E}] \in (\mathcal{G}(2,1) \cup \mathcal{H}) \cap \overline{\mathcal{K}}$ . By (\*\*) and the inferior semi-continuity of the dimension of the cohomology groups of coherent sheaves, one has that  $h^1(\mathcal{E}(-2)) \geq 3$ , contrary to (\*\*\*) □

This last proposition finally concludes the proof of Main Theorem 2.